*ANNEX*

**Communication of the actions undertaken in response to Recommendation ESRB/2020/7**

1. **Details of addressee**

|  |  |
| --- | --- |
| **Recommendation** |  |
| **Country of the Addressee** |  |
| **Institution** |  |
| **Capacity\*** |  |
| **Name and contact details of the respondent** |  |
| **Date of communication** |  |

\*Please indicate in what capacity you respond, i.e. the competent or supervisory authority under point (40) of Article 4(1) of Regulation (EU) No 575/2013, Article 13(10) of Directive 2009/138/EC, the competent authority referred to in Article 22 of Regulation (EU) No 648/2012, or the macroprudential authority.

1. **Communication of actions**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Recommendation** | Do you comply? (yes/no/not applicable) | Please describe the actions taken to comply | If you do not comply, or comply partially, provide adequate justification | Please provide the details (e.g. link, Government Gazette, publication number) of the measure adopted in response to this Recommendation |
| Recommendation A(a) |  |  |  |  |
| Recommendation A(b) |  |  |  |  |
| Recommendation A(c) |  |  |  |  |

1. **Notes**
2. This form is used for the communication required by Article 17(1) of Regulation (EU) No 1092/2010.
3. Each addressee should submit the completed form to the ESRB via the ESRB Secretariat electronically via DARWIN in the dedicated folder or by email to [notifications@esrb.europa.eu](mailto:notifications@esrb.europa.eu). (The ESRB Secretariat will arrange for the transmission of the communications to the European Parliament, the Council and the Commission, on an aggregated basis).
4. Addressees are expected to provide all relevant information and documentation related to the implementation of this Recommendation and the criteria for implementation, including information on the substance (such as on the legal form of the measure and on the type of financial institutions covered) and timing of the actions taken.
5. If an addressee only partially complies, it should provide a full explanation of the extent of non-compliance, as well as other details of partial compliance. The explanation should specify clearly the relevant parts of the recommendation which the addressees do not comply with.