



DECISION OF THE EUROPEAN SYSTEMIC RISK BOARD

of 21 July 2015

**on the provision and collection of information for the macroprudential oversight of the
financial system within the Union and repealing Decision ESRB/2011/6
(ESRB/2015/2)**

THE GENERAL BOARD OF THE EUROPEAN SYSTEMIC RISK BOARD,

Having regard to Regulation (EU) No 1092/2010 of the European Parliament and of the Council of 24 November 2010 on European Union macroprudential oversight of the financial system and establishing a European Systemic Risk Board⁽¹⁾, and in particular Articles 3(2), 4(2) and 8(2) and Article 15 thereof,

Having regard to Council Regulation (EU) No 1096/2010 of 17 November 2010 conferring specific tasks upon the European Central Bank concerning the functioning of the European Systemic Risk Board⁽²⁾, and in particular Article 2(b), Article 5 and Article 6(4) thereof,

Having regard to Decision ESRB/2011/1 of the European Systemic Risk Board of 20 January 2011 adopting the Rules of Procedure of the European Systemic Risk Board⁽³⁾, and in particular Article 28(1) thereof,

Whereas:

- (1) Article 3(2) of Regulation (EU) No 1092/2010 provides that the European Systemic Risk Board (ESRB) has to determine and/or collect and analyse all relevant and necessary information for the macroprudential oversight of the financial system within the Union in order to contribute to the prevention or mitigation of systemic risks to financial stability in the Union arising from developments within the financial system, taking into account macroeconomic developments, so as to avoid periods of widespread financial distress.
- (2) Article 15(2) of Regulation (EU) No 1092/2010 provides that the European Supervisory Authorities (ESAs), the European System of Central Banks (ESCB), the European Commission, the national supervisory authorities and national statistics authorities have to cooperate closely with the ESRB and provide it with all the information necessary for the fulfilment of its tasks in accordance with Union legislation.
- (3) Pursuant to Article 15(3) of Regulation (EU) No 1092/2010, the ESRB may request information from the ESAs, as a rule in summary or aggregate form such that individual financial institutions cannot be identified.

¹ () OJ L 331, 15.12.2010, p. 1.

² () OJ L 331, 15.12.2010, p. 162.

³ () OJ C 58, 24.2.2011, p. 4.

- (4) Recital 10 of Regulation (EU) No 1096/2010 states that 'The ECB should be entrusted with the task of providing statistical support to the ESRB, in accordance with recital 9 thereof.
- (5) This Decision does not interfere with the ECB's entitlement to use for its own purposes the information that it collects under Council Regulation (EC) No 2533/98 of 23 November 1998 concerning the collection of statistical information by the European Central Bank⁽⁴⁾.
- (6) The ESRB, ECB and ESAs have agreed to update the existing framework of regular provision of aggregated information in order to serve the ESRB's needs,

HAS ADOPTED THIS DECISION:

Article 1

Scope

This Decision sets out the aggregated information required by the ESRB for the performance of its tasks and lays down the detailed rules for provision and collection of that information.

Article 2

Regular provision of aggregated information

1. Regular provision of the aggregated information required by the ESRB for the performance of its tasks shall take place as specified in Annexes I and II.
2. The aggregated information set out in Annex I shall be provided by the ECB.
3. The aggregated information set out in Annex II shall be provided by the respective ESAs.
4. The ESRB Secretariat shall:
 - (a) lay down, where necessary, the technical specifications concerning the information referred to in paragraph 1, after having consulted the ECB and/or ESAs as appropriate, and
 - (b) collect the information referred to in paragraph 1 and cooperate with the ECB and the ESAs accordingly.

Article 3

Ad hoc provision of aggregated information

The procedures that the ESRB Secretariat shall apply to carry out requests for aggregated information on an ad hoc basis are set out in Annex III.

Article 4

Final and transitional provisions

1. Decision ESRB/2011/6 is repealed with effect from the entry into force of this Decision.

⁽⁴⁾ OJ L 318, 27.11.1998, p. 8.

2. Annex II to this Decision shall apply from the date of the entry into force of this Decision, or from the date on which the indicators referred to in that Annex are first produced, or from the date on which the relevant technical specifications on data provision referred to in that Annex are laid down, whichever is the later.

Article 5

Entry into force

This Decision shall enter into force on 21 July 2015.

Done at Frankfurt am Main, 21 July 2015.

The Chair of the ESRB

Mario DRAGHI